

Rec'd PCT 18 APR 2005

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



10/531706



(43) International Publication Date  
17 June 2004 (17.06.2004)

PCT

(10) International Publication Number  
**WO 2004/050377 A1**

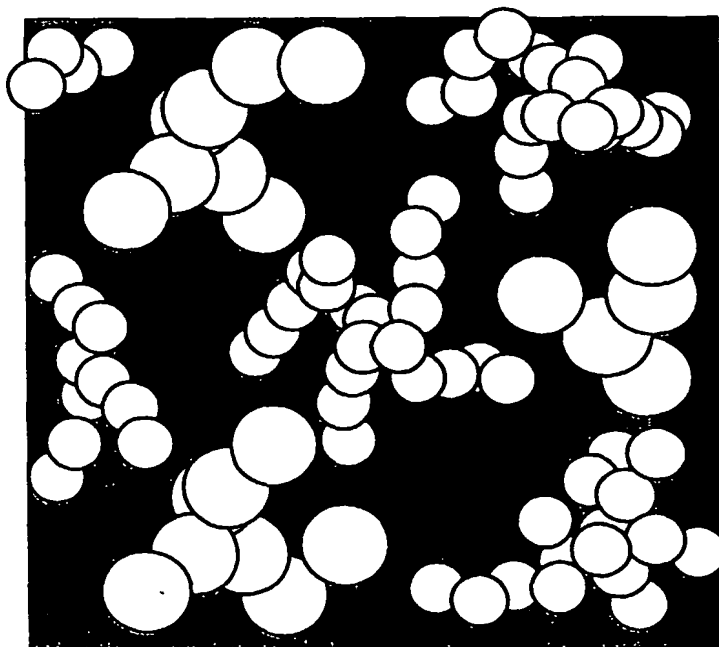
- (51) International Patent Classification<sup>7</sup>: **B41M 5/00**,  
C09D 17/00, C09C 1/30
- (21) International Application Number:  
PCT/EP2003/012877
- (22) International Filing Date:  
18 November 2003 (18.11.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
102 56 267.9 3 December 2002 (03.12.2002) DE
- (71) Applicant (for all designated States except US): **DE-  
GUSSA AG** [DE/DE]; Bennigsenplatz 1, 40474 Düssel-  
dorf (DE).
- (72) Inventors; and  
(75) Inventors/Applicants (for US only): **BATZ-SOHN,  
Christoph** [DE/DE]; Riedstrasse 10a, 63454 Hanau (DE).  
**SCHARFE, Thomas** [DE/DE]; Wartbergstrasse 20/1,
- (74) Common Representative: **DEGUSSA AG**; Intellectual  
Property Management, Patente und Marken, Standort  
Hanau, Postfach 13 45, 63403 Hanau (DE).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE,  
GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR,  
KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK,  
MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT,  
RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR,  
TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (*regional*): European patent (AT, BE,  
BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU,  
IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR).

## Declarations under Rule 4.17:

— as to applicant's entitlement to apply for and be granted  
a patent (Rule 4.17(ii)) for the following designations AE,  
AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA,  
CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG,  
ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP,

[Continued on next page]

(54) Title: DISPERSION, COATING SLIP AND ABSORPTIVE MEDIUM



(57) Abstract: Stable, aqueous dispersion containing powders A and B, - wherein powder A is an amorphous silicon dioxide powder having an average particle diameter of 0.05 to 0.7  $\mu\text{m}$  and a BET surface area of 5 to 50  $\text{m}^2/\text{g}$ , and - wherein powder B is a metal oxide or non-metal oxide powder consisting of aggregates of intergrown primary particles and displays a primary particle size of 5 to 50 nm and a BET surface area of 50 to 400  $\text{m}^2/\text{g}$ . Coating slip to form an ink-absorptive coating using the dispersion and at least one hydrophilic binder. Absorptive medium using the coating slip and a support.

WO 2004/050377 A1



KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR)

— of inventorship (Rule 4.17(iv)) for US only

**Published:**

— with international search report

— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

### Dispersion, coating slip and absorptive medium

The invention provides an aqueous dispersion containing a silicon dioxide powder and another metal oxide or non-metal oxide powder. The invention also provides a coating slip  
5 deriving from this dispersion and an ink-absorptive medium.

Surfaces of absorptive supports can be coated with coating slips to improve their print properties. Of particular importance are for example the adsorption, drying times and adhesion of the ink as well as the gloss of the absorptive  
10 medium. Particularly for photograph-type materials gloss and high ink absorption capacity represent substantial features.

The coating slip for producing a glossy absorptive support generally comprises an aqueous dispersion of pigments, such  
15 as hydrated aluminium hydroxide, aluminium oxide, silicon dioxide (silica), titanium dioxide and a binder, such as e.g. polyvinyl alcohol, the pigments being incorporated in the form of powders or as a dispersion of powders.

High-gloss coatings can be obtained for example with fine  
20 silica particles. The often low stability and the high viscosity of the dispersions used for the coating slips are disadvantageous. Thus the dispersion often has to be produced immediately before its conversion into a coating slip. More highly filled dispersions are difficult to  
25 process because of the increased viscosity.

The filler content of the coating slip is an important parameter for the quality of the absorptive medium produced with it and for the economic efficiency of the process. If  
30 a coating slip has a high filler content less coating slip is needed to obtain a specific rate of application than is the case with coating slips having a low filler content. In addition, less water has to be evaporated in the case of a high filler content, which means that drying is faster. The

process can therefore be performed more economically as compared with a coating slip having a low filler content.

5 A high gloss and a good ink absorption capacity can also be achieved by processing means if the coating slip is applied by cast coating. This process is relatively slow and cost-intensive, however.

10 In DE-A-100 35 054 cationised fine silica particles with a primary particle diameter of 50 nm or less are used in an aqueous dispersion to produce a coating slip that leads to an absorptive medium with high gloss and good ink absorption capacity.

15 US 6,284,819 describes a coating slip with a specific viscosity that is obtained from an aqueous dispersion of two particles differing in type and size. The first powder type comprises metal oxide particles such as e.g. silica, cationised silica or aluminium oxide. The first powder type comprises aggregates of smaller primary particles having an average primary particle size of less than 100 nm and an average aggregate size of 100 to 500 nm. In addition, the  
20 average aggregate diameter of the particles of the second powder type is at least half the size of the average aggregate diameter of the first powder type. The second powder type comprises metal oxides and synthetic polymers. The ratio by weight of the particles of the first to the  
25 second powder type is between 9 and 91 wt.%. An absorptive medium with high gloss and good ink absorption capacity can be produced with the coating slip thus defined. The first powder type of particles is intended to be responsible for the absorption of liquid. The smaller aggregates of the  
30 second powder type are intended to fill voids. Overall the packing density of the coating is increased. The substantial feature is that the average aggregate diameter of the particles of the second powder type is at least half the size of the average aggregate diameter of the first  
35 powder type. As is shown in the embodiment examples, the

coating slip is obtained by adding a binder, such as e.g. polyvinyl alcohol, to a physical mixture of two aqueous dispersions, one dispersion containing the particles of the first powder type, one dispersion containing the particles of the second powder type. All combinations of metal oxide particles, regardless of their specific surface charge, at a given pH of the dispersion are disclosed in US 6,284,819. This can lead to dispersions that are not stable, that rapidly tend to gel and that are therefore only of limited suitability for producing a coating slip.

The examples show that there is a high level of interest in coating slips and in absorptive media produced with them having high gloss, good ink absorption capacity and rapid drying times. Particular importance is given to the dispersions that serve as the starting material for the coating slips.

The object of the invention is therefore to provide a dispersion having a high filler content and low viscosity that allows a coating slip to be produced that, when applied to an absorptive support, produces an absorptive medium displaying high gloss, good ink absorption capacity and good drying performance.

The invention provides a stable, aqueous dispersion containing powders A and B,

- wherein powder A is an amorphous silicon dioxide powder having an average particle diameter of 0.05 to 0.7  $\mu\text{m}$  and a BET surface area of 5 to 50  $\text{m}^2/\text{g}$ , and
- wherein powder B is a metal oxide or non-metal oxide powder consisting of aggregates of intergrown primary particles and displays a primary particle size of 5 to 50 nm and a BET surface area of 50 to 400  $\text{m}^2/\text{g}$ , and
- wherein at a given pH of the dispersion, powders A and B display the same surface charge sign, and wherein powders A and B have a zeta potential that gives rise to an electrostatic repulsion between the particles that is greater than the van der Waals attraction between the powders, and wherein in the dispersion the average particle diameter of the group A powder is 60 to 166 % of the aggregates of the group B powder and
- wherein the proportion of powder A, relative to the sum of powders A and B, is at least 5 wt.%.

20

The primary particles of these powders are understood to be the smallest particles in high-resolution TEM images, which are obviously unable to be broken down any further. Several primary particles can congregate at their points of contact to form aggregates. These aggregates are either impossible or very difficult to break down again using dispersing devices. Several aggregates can join together loosely to form agglomerates, a process that can be reversed again by suitable dispersion.

30 Average aggregate diameter is understood to refer to the equivalent sphere diameter, stated as the volume-weighted median value from peak analysis. For the powders used in the dispersion it is calculated by dynamic light scattering, for example with a Malvern Zetasizer 3000 HSA

device. If the differences in aggregate diameters of powders A and B in a dispersion are between 60 and 166%, a monomodal distribution is measured with this method. This means that the average aggregate diameters of powders A and B are measured as being of the same size if their diameters differ by between 60% and 166%. If the average aggregate diameters of two powders in a dispersion differ by more than 60% or by more than 166%, when measured separately, then the light scattering displays a bimodal distribution of the powder mixture. This distribution lies outside the claimed range.

Stable is understood to mean that over a period of at least one month the dispersion does not settle out and forms no bottom products. This also means that the dispersion can be transported and does not have to be produced immediately before use.

Aqueous is understood to mean that the main component of the liquid phase is water.

In order to obtain a stable dispersion it is important that the particles present in the dispersion display the same surface charge sign. Particles having the same surface charge sign will repel one another. If the zeta potential is sufficiently high, the repulsive force can overcome the van der Waals attraction between the powder particles and coagulation or sedimentation of the particles is avoided. The zeta potential is a measure of the surface charge of the particles. It is the potential at the shear level within the electrochemical double layer of metal oxide and/or non-metal oxide particles and electrolyte in the dispersion. The zeta potential depends inter alia on the type of particle, for example silicon dioxide, cationised silicon dioxide or aluminium oxide. An important parameter in connection with the zeta potential is the isoelectric point (IEP) for a particle. The IEP indicates the pH at which the zeta potential is zero. In aluminium oxide or

cationised silicon dioxide the IEP is at a pH of approximately 9 to 10, in silicon dioxide it is below pH 3.8.

5 The charge density at the surface can be influenced by changing the concentration of the potential-determining ions in the surrounding electrolyte. In those dispersions in which the particles carry acid or basic sites at the surface, the charge can be changed by adjusting the pH. The greater the difference between pH and IEP, the more stable  
10 the dispersion.

The zeta potential can be determined for example by measuring the colloid vibration current (CVI) of the dispersion or by determining the electrophoretic mobility.

15 In a preferred embodiment the average primary particle diameters of powders A and B can differ by a factor of at least 2, in a particular embodiment by a factor of at least 2.5.

In a particular embodiment the average aggregate diameter of powder B can be 80 to 125% of the size of powder A or  
20 vice versa. The aggregate diameter of powders A and B is particularly preferably of an approximately equal size.

The total solids content of the dispersion can be varied over broad limits. The solids content of powders A and B in the dispersion according to the invention can  
25 advantageously be between 20 and 80 wt.%.

In an advantageous embodiment the viscosity of the dispersion according to the invention can be below a value of 1500 mPas at a shear rate of  $12 \text{ s}^{-1}$  and a temperature of 23°C. Values of below 1000 mPas at a shear rate of  $12 \text{ s}^{-1}$   
30 and a temperature of 23°C can be particularly preferred.

There is no restriction on the origin of the silicon dioxide of powder A. Thus ground silica gels, for example



those sold by Grace under the name Sylojet® or Syloid®, can be used.

Pyrogenically produced silicon dioxide powder can preferably be used, however.

5 Pyrogenically within the meaning of the invention is understood to mean the oxidation of silicon, as described for example in CA2166844. Silicon dioxide powder of this type is sold for example by Elkem under the name Microsilica®.

10 Pyrogenically within the meaning of the invention is also understood to mean the hydrolysis of silicon and aluminium compounds or silicon and titanium compounds in the gas phase in a flame generated by the reaction of hydrogen and oxygen. The pyrogenically produced silicon dioxide of  
15 powder A can particularly preferably display a BET surface area of 5 to 30 m<sup>2</sup>/g and a dispersion coefficient Z of less than 40, whereby  $Z = Y/2X$ , where X = median value of the particle size distribution, Y = range of the particle size distribution, relative to 10 to 90 % of the cumulative  
20 particle size. Powder A is produced as described in the Japanese laid-open specification JP2002-003213 of 09 January 2002. The powders described therein display particles having an almost perfectly spherical shape. Figure 1 shows the frequency of particles sizes (in %) of a  
25 powder having a specific surface area of 10 m<sup>2</sup>/g (I) and 30 m<sup>2</sup>/g (II) as a function of the particle size (in µm).

The average aggregate size of powder B can preferably assume values of between 50 and 500 nm.

30 Powder B of the invention comprises the metal and/or non-metal oxide powders silicon dioxide, aluminium oxide, titanium dioxide, cerium oxide and zirconium oxide. The surfaces of these powders display acid or basic sites. There is no restriction on the origin of the metal and non-

metal oxides. Pyrogenically produced metal and non-metal oxides can preferably be used for the dispersion according to the invention. Pyrogenically produced silicon dioxide and aluminium oxide are particularly preferred. The BET  
5 surface area of the powders is between 5 and 600 m<sup>2</sup>/g.

In an advantageous further development of the invention, powder B can be a mixed oxide powder. Powders of at least two oxides from the group comprising silicon dioxide, aluminium oxide, titanium dioxide, cerium oxide or  
10 zirconium oxide can be used as mixed oxide powders.

Mixed oxide is understood to mean the intimate mixture of oxide powders at an atomic level to form mixed oxygen-metal/non-metal bonds, such as e.g. Si-O-Al or Si-O-Ti. In addition, the primary particles can also display regions in  
15 which the oxide powders are present side by side, for example regions of silicon dioxide adjacent to aluminium oxide.

Pyrogenically produced mixed oxide powders can preferably be used. Here the precursors of mixed oxides, separately or  
20 together, are transferred to a burner and burnt in a flame and the resulting mixed oxide powders separated off. The production of these powders is described for example in EP-A-585 544, DE-A-199 19 635 (both SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> mixed oxides) or DE-A-4235996 (SiO<sub>2</sub>-TiO<sub>2</sub> mixed oxide).

25 The invention also comprises doped metal or non-metal oxides produced by the method described in DE-A-196 50 500. In particular the silicon-aluminium mixed oxide described in DE-A-198 47 161.

The invention also comprises powders having a metal or non-  
30 metal oxide as core, which is entirely or partially sheathed by a different metal or non-metal oxide. The sheath can be applied in a liquid medium or by means of a

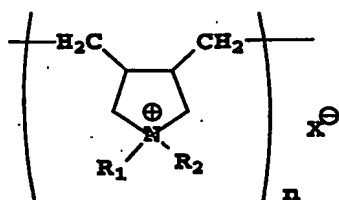
deposition process from a vaporous precursor of the metal or non-metal oxide.

Powders A and B can also be used in cationised form. This can be achieved by treating the powder with a cationic polymer that is soluble in the dispersion medium. A polymer  
5 having a weight-average molecular weight of below 100,000 g/mol can preferably be used. The range between 2000 and 50,000 g/mol is particularly preferred.

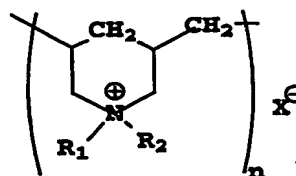
Cationic polymers can be polymers having at least one  
10 quaternary ammonium group, phosphonium group, an acid adduct of a primary, secondary or tertiary amine group, polyethylene imines, polydiallyl amines or polyallyl amines, polyvinyl amines, dicyandiamide condensates, dicyandiamide-polyamine co-condensates or polyamide-  
15 formaldehyde condensates.

Those deriving from a diallyl ammonium compound can be preferable, particularly preferably those deriving from a dialkyl diallyl compound, which can be obtained by a radical cyclisation reaction of diallyl amine compounds and  
20 display the structure 1 or 2. Structures 3 and 4 represent copolymers deriving from dialkyl diallyl compounds.

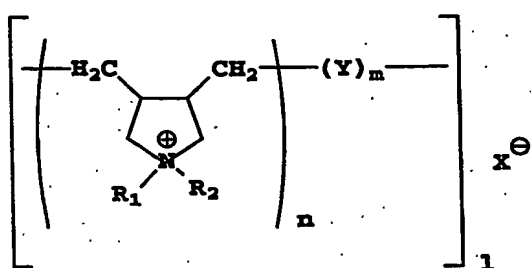
$R_1$  and  $R_2$  represent a hydrogen atom, an alkyl group having 1 to 4 C atoms, methyl, an ethyl, an n-propyl, an iso-propyl, an n-butyl, an iso-butyl or a tert.-butyl group, wherein  $R_1$   
25 and  $R_2$  can be the same or different. A hydrogen atom from the alkyl group can also be substituted by a hydroxyl group. Y represents a radical-polymerisable monomer unit, such as e.g. sulfonyl, acrylamide, methacrylamide, acrylic acid, methacrylic acid.  $X^-$  represents an anion.



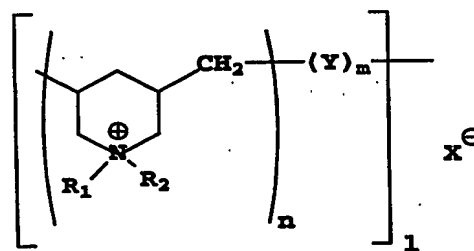
1



2



3



4

A poly(diallyl dimethyl ammonium chloride) solution (PDADMAC solution in water) can be cited by way of example.

The content of cationic polymer can be between 0.1 and 15, preferably between 0.5 and 10, particularly preferably between 0.8 and 5 wt.%, relative to the amount of cationic polymer and powder A and/or B.

The dispersion according to the invention can also contain substances to adjust the pH, such as acids, bases or buffer systems, additives to stabilise the dispersion, such as salts, surface-active substances, organic solvents, bactericides and/or fungicides.

The invention also provides a process for producing the dispersion according to the invention, which is characterised in that powders A and B are dispersed separately in an aqueous dispersion by means of a dispersing device and then combined, or that they are first physically mixed and then dispersed together, or that they are introduced into the dispersing device in portions and

then dispersed together. A predispersion can optionally take place prior to dispersion.

High-speed mixers or a toothed disc for example are suitable for predispersion. Rotor-stator machines, such as  
5 Ultra Turrax (IKA) or those manufactured by Ystral, as well as ball mills and attrition mills, are suitable for dispersion. Higher energy inputs are possible with a planetary kneader/mixer. The efficiency of this system depends on a sufficiently high viscosity of the mixture  
10 being processed, however, in order for the high shear energies needed to break down the particles to be introduced.

Aqueous dispersions having average aggregate diameters of below 100 nm can be obtained with high-pressure  
15 homogenisers. In these devices two predispersed streams of suspension under high pressure are decompressed through a nozzle. The two jets of dispersion hit each other exactly and the particles grind themselves. In another embodiment the predispersion is likewise placed under high pressure,  
20 but the particles collide against armoured sections of wall. The operation can be repeated any number of times to obtain smaller particle sizes.

The invention also provides a coating slip containing the dispersion according to the invention and at least one  
25 hydrophilic binder.

Polyvinyl alcohol, partially or entirely saponified, and cationised polyvinyl alcohol with a primary, secondary or tertiary amino group or a tertiary ammonium group on the main chain or on the side chain can be used as binder.  
30 Combinations of these polyvinyl alcohols with one another and polyvinyl pyrrolidones, polyvinyl acetates, silanised polyvinyl alcohols, styrene-acrylate latices, styrene-butadiene latices, melamine resins, ethylene-vinyl acetate copolymers, polyurethane resins, synthetic resins such as

polymethyl methacrylates, polyester resins (for example unsaturated polyester resins), polyacrylates, modified starch, casein, gelatine and/or cellulose derivatives (for example carboxymethyl cellulose) can also be used.

- 5 Polyvinyl alcohol or cationised polyvinyl alcohol can preferably be used.

- The coating slip can also additionally contain one or more other pigments such as calcium carbonates, phyllosilicates, aluminium silicates, plastics pigments (for example  
10 polystyrene, polyethylene, polypropylene), silicas (for example colloidal silicas, precipitated silicas, silica gels, cationised modifications of the cited silica compounds, aluminium compounds (for example aluminium sols, colloidal aluminium oxides and hydroxyl compounds thereof,  
15 such as pseudoboehmites, boehmites, aluminium hydroxide), magnesium oxide, zinc oxide, zirconium oxide, magnesium carbonates, kaolin, clay, talc, calcium sulfate, zinc carbonate, satin white, lithopones, zeolites.

- The coating slip can display a content of powder A and  
20 powder B of in total 10 to 60 wt.%. It can preferably be greater than 15 wt.%, particularly preferably greater than 25 wt.%.

- The coating slip can also contain a proportion of binder, relative to the sum of powders A and B, of between 3 and  
25 150 wt.%. It can preferably be between 10 and 40 wt.%, particularly preferably between 3 and 15 wt.%.

- Crosslinking agents such as zirconium oxides, boric acid, melamine resins, glyoxal and isocyanates and other molecules which link together the molecule chains of the  
30 binder system can be used to increase the water resistance of the binder system and hence of the coating.

In addition, auxiliary substances such as optical brighteners, defoaming agents, wetting agents, pH buffers, UV absorbers and viscosity aids can be used.

5 The invention also provides the production of the coating slip, which is characterised in that the dispersion according to the invention is added with stirring to an aqueous solution of the hydrophilic binder, to which additional additives can optionally also be added, and optionally diluted until the desired ratio of powder and  
10 binder and the desired total solids content is established. The addition sequence is not substantial. Stirring is optionally continued for a defined period of time and deaeration is then performed in vacuo if required. Additives are understood to be e.g. pigment, crosslinking  
15 agents, optical brighteners, defoaming agents, wetting agents, pH buffers, UV absorbers and viscosity aids.

The invention also provides an ink-absorptive coating using the coating slip according to the invention and a support. Examples of supports that can be used are paper, coated  
20 paper, resin films, such as a polyester resin, including polyethylene terephthalate, polyethylene naphthalate, a diacetate resin, a triacetate resin, an acrylic resin, a polycarbonate resin, a polyvinyl chloride, a polyimide resin, cellophane, celluloid and a glass plate.

25 So-called photographic base papers, i.e. papers to which one/or more layers of polyethylene film have been applied to the front and or back, are preferred. Also polyester film, PVC film or precoated papers.

The absorptive medium according to the invention also  
30 includes media in which the ink-absorptive coating consists of several coating layers of the same type or other layers. The coating slip according to the invention can be found in just one or in several layers. Thus for example additional ink-absorptive coatings, such as films containing

precipitated silica, can also be applied below the coating slip according to the invention. One or more polymer layers (for example polyethylene) can also be applied to the substrate and/or to the coating according to the invention, in order to increase the mechanical stability and/or the gloss in the coating (e.g. photographic base paper, lamination).

The supports can be transparent or opaque. There is no limit to the thickness of the support, but thicknesses of between 50 and 250  $\mu\text{m}$  are preferably used.

The invention also provides the production of an absorptive medium which is characterised in that the coating slip is applied to the support and dried. The coating slip can be applied by all conventional application processes, such as roll coating, blade coating, airbrush, doctor blade (profiled, smooth, slotted), cast coating, film press, size press, curtain coating and slot die application (e.g. casting blade) and combinations thereof. Processes that allow a very homogeneous coating, such as e.g. cast coating, curtain coating and slot die application, are preferably used.

The coated substrate can be dried by all conventional methods, such as air or convection drying (e.g. hot air passage), contact or conduction drying, energy radiation drying (for example infrared and microwave).

It is surprising that the dispersions according to the invention display a high filler content with low viscosity and that the coating slips produced with them display a high gloss. In US 6,284,819 a coating slip is obtained from an aqueous dispersion containing two powder types of aggregates, the aggregate diameters of the first powder type being at least 50 % smaller than those of the second powder type. The aggregate diameters of the second powder type are preferably substantially even smaller, for example



below 20 nm. The powder type with the larger aggregate diameters is intended to be responsible for the absorption of liquid, the smaller aggregate diameters of the second powder type are intended to fill voids. Overall the packing density of the coating is increased.

On the other hand, in the dispersion and coating slip according to the invention the differences in the aggregate diameters of the individual powder types, in contrast to US 6,284,819, must be no less than 60% of the larger aggregates. It is particularly preferable for the diameter of the aggregates to be the same.

An explanation of the very good properties of the dispersion and coating slip according to the invention cannot be provided at present. Figures 2 and 3 provide a possible interpretation.

Figure 2 shows an arrangement of two aggregates having primary particles of different sizes in a dispersion. The aggregates with the lower BET surface area have a diameter that is half the size of that of the aggregates with the smaller BET surface area. Figure 2 corresponds to the facts described in US 6,284,819. Figure 2 clearly shows the high filler content of the dispersion, which has a negative influence on the pore volume, however, leading to poorer image properties.

Figure 3 shows the situation in the dispersion according to the invention with two types of aggregates, wherein both types have the same aggregate size albeit with different primary particle sizes. Large pores are formed with a high filler content.

### Examples

**Analytical methods:** The viscosity of the dispersions is determined with an MCR300 device with measuring system CC27 from Parr-Physica, with measurements taken at shear rates of between 0.01 and 100 s<sup>-1</sup>. The viscosity is given at 1 s<sup>-1</sup> and 100 s<sup>-1</sup> and at 23°C. The viscosity of the coating slips is measured with a Brookfield RVT rotary viscometer at 100 s<sup>-1</sup> and 23°C.

The zeta potential is determined with a DT-1200 device from Dispersion Technology Inc. using the CVI method.

The aggregate size is determined by dynamic light scattering. The Zetasizer 3000 HSA device (Malvern Instruments, UK) was used. The volume-weighted median value from peak analysis is given.

The average primary particle sizes of the powders used are determined by transmission electron microscopy (TEM).

**Powders:** The pyrogenic silicon dioxide powders OX 10 (BET surface area approx. 10 m<sup>2</sup>/g) and OX 30 (BET surface area approx. 30 m<sup>2</sup>/g) from Nippon Aerosil are used as powder A.

The pyrogenic oxide powders DOX 110 (SiO<sub>2</sub> mixed oxide powder with 0.25 wt.% Al), AEROSIL® 200 and AEROSIL® 300 from Degussa AG are used as powder B.

**Dispersions:** Analytical data for the dispersions is set out in Table 2.

Demineralised water is used as the dispersion medium for the cited examples. The demineralised water is measured out and the additive optionally dissolved therein. Powder A and then powder B are then incorporated successively using a high-speed mixer. Dispersion is then performed for 30 min

on an Ultra-Turrax at 7000 rpm. After approximately 24 h the samples are characterised for viscosity, particle size and zeta potential.

### Coating slips

- 5 **Formulation:** An aqueous polyvinyl alcohol solution (PVA Mowiol 40-88, Clariant) with a 12.33 % solids content is placed in a beaker and a quantity of water added such that after addition of the dispersion D2 a coating slip is obtained with the desired solids content. The particular
- 10 dispersion is added to the combination of polyvinyl alcohol solution and water whilst stirring with a high-speed mixer disc at 500 revolutions per minute (rpm). Once the addition is completed stirring is continued for a further 30 minutes at 500 revolutions per minute. The coating slips are then
- 15 deaerated with the aid of a desiccator and a water jet pump.

Coating slip S2-A contains 18 wt.% of dispersion D2, relative to the solids in the dispersion, and 22 parts of PVA Mowiol 40-88.

- 20 Coating slip S2-B contains 20 wt.% of dispersion D2, relative to the solids in the dispersion, and 6 parts of PVA Mowiol 40-88.

Index A refers to the coating of films, which is described below, index B to the coating of paper.

- 25 Coating slips S0\_2-A and S0\_2-B are produced in the same way from dispersion D0\_2 according to the prior art.

The composition, viscosities and pH values of the coating slips are reproduced in Table 3.

**Ink-absorptive media**

The coating slip S2-A is applied with the aid of wet film spiral blades onto an untreated polyester film (Benn) of thickness 100 micrometers. Drying is performed with a hairdryer. The rate of application obtained is 25 g/m<sup>2</sup>.

The coated films are printed with an internal test image using an Epson Stylus Color 980 with the settings Photo Quality Glossy Film, 1440 dp, Epson calibration, gamma (D): 1.8.

Coating slip S2-B is applied with the aid of wet film spiral blades onto a matt inkjet paper (Zweckform, no. 2576). Drying is performed with a hairdryer. The coated paper is then satinised under 10 bar of pressure and at 50°C with the aid of a laboratory calender. The rate of application of the coating slips S2-B that is obtained is 13 g/m<sup>2</sup>.

The coated papers are printed with an internal test image using an Epson Stylus Color 980 with the settings Premium Glossy Photo Paper, 1440 dpi, bidirectional, Epson calibration, gamma (D): 1.8.

The visual impression of gloss, adhesion and test image for the ink-absorptive media produced is reproduced in Table 4. The media according to the invention M2-A and M2-B display good values for gloss, adhesion and test print. The ink-absorptive media M0\_2-A and M0\_2-B produced from dispersions D0\_2 display good to satisfactory values for gloss, adhesion and test print. In terms of the drying performance of the coating slips, the media M2-A and M2-B according to the invention are clearly superior to the media M0\_2-A and M0\_2-B according to the prior art.

Table 1: Batch sizes for production of the dispersions

Ex.	Demin. water g	Powder A		Powder B		Additive (#) g	Solids wt. %
		Type	Qty g	Type	Qty g		
D0_1	1350	OX30	150			0	15
D0_2	1350			AE200	150	0	15
D1		OX30	150	AE200	225	0	25
D2	1010	OX30	150	AE200	300	40.3	30
D3	1012	OX10	150	AE200	300	38.4	30
D4	730	OX10	150	DOX110	600	20.3	50
D5	1067	OX30	75	AE300	300	57.6	25

(#) Additive: Polyquat 40U05NV from Katpol, Bitterfeld

Table 2: Physical-chemical values for the dispersions

5

Ex.	Av. aggregate size D <sub>50</sub>	Av. BET surface area	Viscosity	Viscosity	pH	Zeta poten- tial
	nm	m <sup>2</sup> /g	1/s <sup>-1</sup>	100/s <sup>-1</sup>		mV
D0_1	50	30	n.d.	n.d.	4.8	n.d.
D0_2	245	200	n.d.	n.d.	4.3	n.d.
D1	298	132	2450	878	4.4	-3.5
D2	173	143	340	120	2.9	+36
D3	165	137	235	87	2.9	+37.5
D4	98	54	385	277	2.8	+34.2
D5	168	246	412	257	2.8	+38.2

n.d. not determined

**Table 3: Batch sizes and physical-chemical values for the coating slips**

Coating slip	PVA content (1)	Solids content	pH	Viscosity (2)
	wt. %	wt. %		mPas
S0_2-A	36	13	4.7	724
S0_2-B	14	14	4.6	640
S2-A	22	18	3.4	554
S2-B	6	20	3.2	618

(1) Relative to 100 parts of solid in the dispersion

(2) Viscosity according to Brookfield at 100 rpm in mPas

5

**Table 4: Visual impression of gloss, adhesion and test image <sup>(1)</sup> for the ink-absorptive media.**

Ink-absorptive medium	Gloss	Coating slip adhesion	Test print	Coating slip drying performance
M0_2-A	+	0	+ / 0	-
M0_2-B	+ / 0	+ / 0	+ / 0	-
M2-A	+	+	+	+ / 0
M2-B	+ / 0	+	+	+

(1) Each test impression assessed by 3 independent people:

++: very good, +: good, + / 0: good to satisfactory,

0: satisfactory; -: poor

10

**Claims:**

1. Stable, aqueous dispersion containing powders A and B,
  - wherein powder A is an amorphous silicon dioxide powder having an average particle diameter of 0.05 to 0.7  $\mu\text{m}$  and a BET surface area of 5 to 50  $\text{m}^2/\text{g}$ , and
  - wherein powder B is a metal oxide or non-metal oxide powder consisting of aggregates of intergrown primary particles and displays a primary particle size of 5 to 50 nm and a BET surface area of 50 to 400  $\text{m}^2/\text{g}$ , and
  - wherein at a given pH of the dispersion, powders A and B display the same surface charge sign, and wherein powders A and B have a zeta potential that gives rise to an electrostatic repulsion between the particles that is greater than the van der Waals attraction between the powders, and wherein in the dispersion the average particle diameter of the group A powder is 60 to 166 % of the aggregates of the group B powder and
  - wherein the proportion of powder A, relative to the sum of powders A and B, is at least 5 wt.%.
2. Dispersion according to claim 1, characterised in that the content of powders A and B in the dispersion is between 20 and 80 wt.%, relative to the total amount of dispersion.
3. Dispersion according to claims 1 or 2, characterised in that their viscosity does not exceed a value of 1500 mPas at a shear rate of 12  $\text{s}^{-1}$  and a temperature of 23°C.
4. Dispersion according to claims 1 to 3, characterised in that powder A is a pyrogenically produced silicon dioxide.
5. Dispersion according to claim 4, characterised in that powder A displays a BET surface area of 5 to 30  $\text{m}^2/\text{g}$  and a dispersion coefficient Z of less than 40, whereby Z =

Y/2X, where X = median value of the particle size distribution, Y = range of the particle size distribution, relative to 10 to 90 % of the cumulative particle size.

- 5 6. Dispersion according to claims 1 to 5, characterised in that the average aggregate size of powder B is 50 to 500 nm.
7. Dispersion according to claim 6, characterised in that powder B is a pyrogenically produced silicon dioxide.
- 10 8. Dispersion according to claim 6, characterised in that powder B is a pyrogenically produced mixed oxide.
9. Dispersion according to claim 8, characterised in that the mixed oxide is a silicon-aluminium mixed oxide.
- 15 10. Dispersion according to claims 1 to 9, characterised in that powders A and B are used in cationised form.
11. Dispersion according to claims 1 to 10, characterised in that it contains substances to adjust the pH, such as acids, bases or buffer systems, additives to stabilise the dispersion, such as salts, surface-active substances, organic solvents, bactericides and/or fungicides.
- 20 12. Process for producing the dispersion according to claims 1 to 11, characterised in that powders A and B are dispersed separately in an aqueous dispersion by means of a dispersing device and then combined, or that they are first physically mixed and then dispersed together, or that they are introduced into the dispersing device in portions and then dispersed together.
- 25



13. Coating slip to form an ink-absorptive coating using the dispersion according to claims 1 to 11 and at least one hydrophilic binder.

5 14. Coating slip according to claim 13, characterised in that the content of powder is between 10 and 60 wt.%, preferably greater than 15 wt.%, particularly preferably greater than 25 wt.%.

10 15. Coating slip according to claims 13 or 14, characterised in that the proportion of binder relative to the powders is between 3 and 150 wt.%, preferably between 10 and 40 wt.%, particularly preferably between 3 and 15 wt.%.

15 16. Process for producing the coating slip according to claims 13 to 15, characterised in that the dispersion according to the invention is added with stirring to an aqueous solution of the hydrophilic binder, to which additional additives can optionally also be added, and optionally diluted until the desired ratio of powder and binder and the desired total solids content is  
20 established.

17. Absorptive medium using the coating slip according to claims 13 to 15 and a support.

25 18. Process for producing the absorptive medium according to claim 17, characterised in that the coating slip is applied to the support and dried.

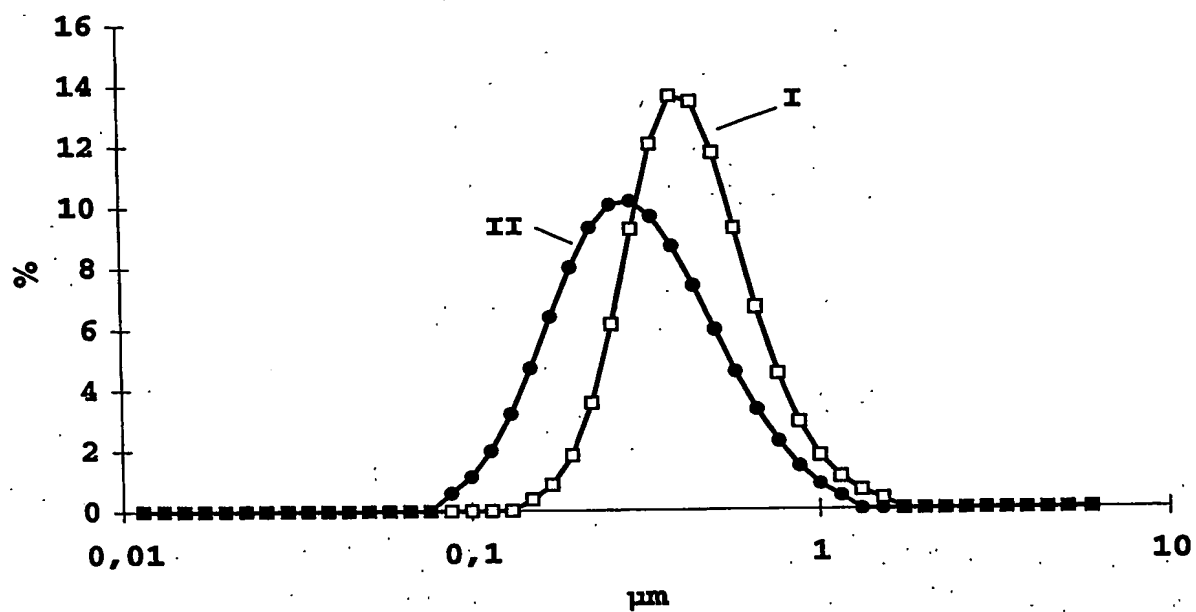
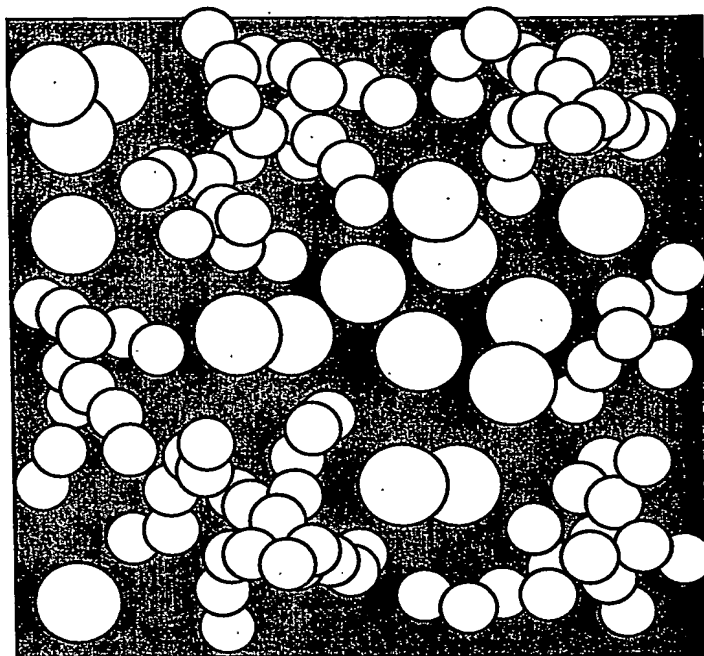
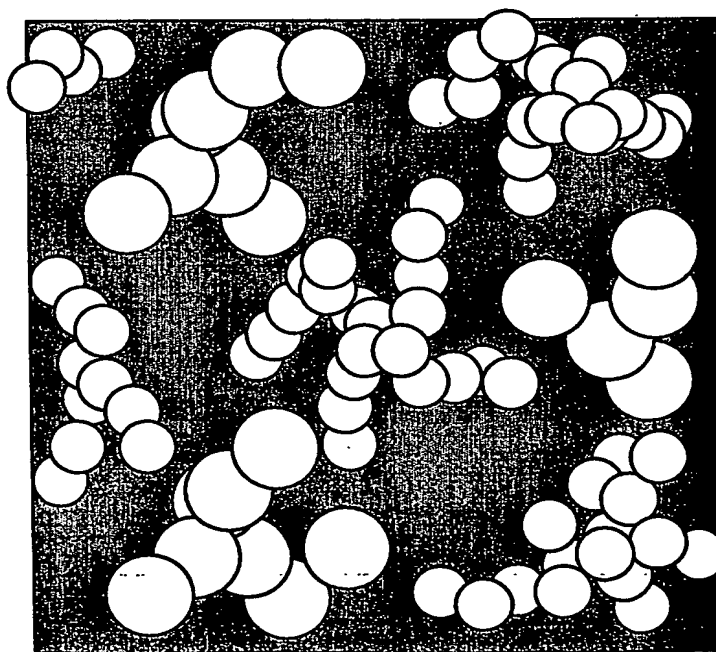


Figure 1



**Figure 2**



**Figure 3**

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 03/12877

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 B41M5/00 C09D17/00 C09C1/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B41M C09D C09C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6 284 819 B1 (LAUFHUTTE RUDIGER ET AL) 4 September 2001 (2001-09-04) cited in the application column 1, line 65 -column 2, line 37 column 6, line 23 -column 11, line 20; claims 1-20	1-18
P,X	EP 1 346 841 A (DEGUSSA) 24 September 2003 (2003-09-24) paragraphs '0010!', '0013!', '0015! paragraph '0018! - paragraph '0023! paragraph '0028! - paragraph '0035! paragraph '0047! - paragraph '0057!; claims 1-18	1-18



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

30 March 2004

Date of mailing of the international search report

08/04/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Siebel, E

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 03/12877

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	<p>EP 1 331 254 A (DEGUSSA)  30 July 2003 (2003-07-30)  paragraphs '0001!', '0006!' - paragraph  '0012!  paragraph '0015! - paragraph '0021!;  claims 1-17  paragraph '0030!  _____</p>	1-18

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 03/12877

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 6284819	B1	04-09-2001	US 2002004131 A1 AU 4853899 A DE 69903636 D1 DE 69903636 T2 EP 1097044 A1 JP 2002519227 T WO 0001539 A1	10-01-2002 24-01-2000 28-11-2002 26-06-2003 09-05-2001 02-07-2002 13-01-2000
EP 1346841	A	24-09-2003	DE 10212677 A1 DE 10212679 A1 CA 2422979 A1 EP 1346841 A1 JP 2003342515 A US 2004025746 A1	16-10-2003 16-10-2003 22-09-2003 24-09-2003 03-12-2003 12-02-2004
EP 1331254	A	30-07-2003	DE 10203047 A1 CN 1477160 A EP 1331254 A1 JP 2003238826 A US 2004034144 A1	07-08-2003 25-02-2004 30-07-2003 27-08-2003 19-02-2004

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**